



LexisNexis®

LexisNexis® for
Financial Services

BACK YOUR BUSINESS WITH AUTHORITY

Protect your company, your clients and your reputation with trusted finance and banking compliance, coverage and litigation resources

Sarbanes-Oxley. Dodd-Frank. Blockchain. AI. Data breaches. Constant market flux. The pressure to manage it all is great. But the potential for your business to thrive is even greater.

That's why LexisNexis delivers exceptional finance and banking content combined with advanced analytics tools and trusted industry knowledge to help you avoid threats and master legal and regulatory challenges while maximizing returns for your stakeholders.

Here are just a few of the must-have finance and banking resources you'll only find on LexisNexis:

Research | Rules | Compliance

- LexisNexis® A.S. Pratt® and LexisNexis® Sheshunoff® content on Lexis Advance®
- *BSA/Anti-Money Laundering: Internal Audit & Risk Management* on Lexis Advance®
- Lexis Practice Advisor® Finance
- Lexis Practice Advisor® Bankruptcy
- Lexis Practice Advisor® Capital Markets & Corporate Governance
- Law360® Banking section
- LexisNexis® State Net® legislative and regulatory tracking
- LexisNexis 50 State Surveys of Statutes and Regulations



Cover your financial work end-to-end with an exclusive combination of primary law, deep analysis, regulatory coverage, breaking news, litigation management tools and more.

“ Lexis Advance is able to give faster, yet more in-depth answers to problems that I need to solve in real time. ”

— SENIOR VICE PRESIDENT,
MUTUAL CREDIT UNION
TVID: 9CE-791-48D

Take on Your Biggest Finance and Banking Challenges



ACCESS CRITICAL TOOLS AND INFORMATION ON ONE PLATFORM

Keep a wealth of LexisNexis finance and banking resources at your fingertips, taking you step by step through regulatory compliance, risk management, commercial and consumer lending and more.

Protect your business from start to finish

- The Banking & Financial Services Practice Center delivers easy-to-access **top compliance and lending sources, news and legal topics** from across LexisNexis services, all from one page, so you can be confident you don't miss a thing.
- LexisNexis is the **exclusive** provider of A.S. Pratt® and Sheshunoff® content on an online research platform. Access these sought-after publications:
 - BSA/Anti-Money Laundering: Internal Audit & Risk Management*
 - Structuring and Drafting Commercial Loan Agreements*
 - The Law of Bank Deposits, Collections and Credit Cards*
- Dramatically **streamline the due diligence process** for OFAC, HM Treasury and Unauthorized Banks screening and more. Lexis Diligence® accesses global sanctions, PEPs, regulatory content and business information on over 200 million public and private companies to reduce risk.
- Track the **latest rulemaking on Dodd-Frank** with Lexis® Securities Mosaic®. Plus keep up with SEC EDGAR® filings, SEDAR filings, JOBS Act rules and no-action letters from various regulatory authorities.



NAVIGATE SWIFT-MOVING REGULATIONS WITH CONFIDENCE

FRB. FDIC. SEC. OCC. CFTC. FINRA. FinCEN. Timely notifications and access to each agency's rules help you steer clear of pitfalls and protect your company.

Stay ahead of compliance issues

- LexisNexis has **critical regulatory materials** from federal and state regulators, including USCS banking laws and CFR banking regulations, plus pending bills and regulatory text.
- Control the volume of pending fintech and other finance and banking legislation and regulation with Legislative Outlook on Lexis Advance. Use quick, **at-a-glance information about a bill's progress** and probability of passage to evaluate its potential impact on your business or industry.
- State Net® monitors the issues that impact the financial services industry every day, from combating money laundering and predatory lending to financial privacy and identity theft. You choose the issue and get the **intelligence you need for regulatory tracking**.
- Quickly compare specific finance and banking **rules and regulations in all 50 states** in a single, convenient document—topics range from credit reporting and money laundering to labor and employment.



MASTER FINANCE LITIGATION CHALLENGES

Extensive content, predictive analytics and exclusive data visualization tools provide you with real strategic insights you just won't find anywhere else.

Make sound decisions

- LexisNexis® Verdict & Settlement Analyzer charts **potential outcomes, trends and likely recovery amounts** for data breach cases.

Transform information into data-driven insights

- Hone your strategies with litigation data mined by Lex Machina® that unearths never-before-seen **insights into judges, companies, opposing counsel, parties and expert witnesses.**

Get early warning of impending lawsuits

- With over 180 million dockets, LexisNexis® CourtLink® also helps you **anticipate the behaviors of key players** based on their prior performance and updates you on current cases.



STAY ON TOP OF IT ALL

From crowdfunding and virtual currency to FDCPA rulings, IT security management and even FATCA and CRS compliance, LexisNexis meets financial services practitioners' needs for strategy development, current awareness, practical guidance and highly specialized information.

- Track changes in finance law with the Law360 Banking section. This highly focused breaking news service delivers **top coverage of financial services cases, legislation and competitors.**
- Reduce drafting time and work more efficiently. Lexis Practice Advisor connects you to practicing financial services attorneys who provide **authoritative guidance, forms, checklists and analysis** specific to your practice.
- Become a statutory wizard with *Banking Law*, your **extensive guide to the regulation, organization, operation, examination and liquidation of commercial banks**, plus major banking legislation from FIRREA to the Gramm-Leach-Bliley Act of 1999 to the CARD Act of 2009.
- Get insights on discrete areas with **niche Matthew Bender® publications**, including *Asset Based Financing: A Transactional Guide*, *The Law of Electronic Funds Transfers* and *Letters of Credit*.

LexisNexis backs you. For more information

[lexisnexis.com/finance](https://www.lexisnexis.com/finance)

800-543-6862

